FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C. 20549
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Check this box if no longer subject
to Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(h)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Miller Peter K						2. Issuer Name <b>and</b> Ticker or Trading Symbol OptiNose, Inc. [ OPTN ]									elationship ck all app Direc	,				
							3. Date of Earliest Transaction (Month/Day/Year) 03/17/2021									er (give title v) chief Exec	Other (s below) Officer	specify		
(Street) YARDLEY PA 19067 (City) (State) (Zip)						4. If Amendment, Date of Original Filed (Month/Day/Year)								Line) X	X Form filed by One Reporting Person Form filed by More than One Reporting Person					
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day)					ion	on 2A. Deemed Execution Date,			3. 4. Transaction Di		posed of, or Benef  4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5)			A) or	5. Amo Securi Benefi Owned	ount of 6. C ties For cially (D) I Following (I) (		: Direct r Indirect str. 4)	7. Nature of Indirect Beneficial Ownership	
										v	Amount	(A) o (D)	r P	rice		ed ction(s) 3 and 4)			(Instr. 4)	
Common	021				P		787	A	\$	3.8494	625,213 <sup>(1)</sup>			D						
Common	2021				P		11,742	A \$3		\$3.905	636,955 <sup>(1)</sup>			D						
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution Date, ity or Exercise (Month/Day/Year) if any			4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Expira (Month	tion D		7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Di Si (li	Price of erivative ecurity nstr. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transaction (Instr. 4)	y C	10. Ownership Form: Direct (D) or Indirect I) (Instr. 4)	Beneficial Ownership t (Instr. 4)		
					Code V		(A)	(D)	Date Exercisable		Expiration Date	Title	Amo or Num of Shar	nber						

## **Explanation of Responses:**

1. Includes 2,711 shares acquired under the Company's employee stock purchase plan on June 30, 2020 at a per share purchase price of \$7.34.

## Remarks:

/s/ Leanne Kelly, Attorney-in-<u>Fact</u>

03/18/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.