FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL								
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Marino (Last)	Name and Address of Reporting Person*  Marino Michael F III  Last) (First) (Middle)  OO OPTINOSE, INC.				Susuer Name and Ticker or Trading Symbol OptiNose, Inc. [ OPTN ]  3. Date of Earliest Transaction (Month/Day/Year) 03/06/2020								5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner  X Officer (give title Other (specify below)  Chief Legal Officer & Corp Sec					ner pecify
1020 STONY HILL ROAD, SUITE 300  (Street) YARDLEY PA 19067  (City) (State) (Zip)					4. If Amendment, Date of Original Filed (Month/Day/Year) 03/09/2020						L	Individual or Joint/Group Filing (Check Applicable Line)      X Form filed by One Reporting Person     Form filed by More than One Reporting Person						
Date				2. Transact	2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securit Transaction Disposed Code (Instr. 5)		ties Acquire Of (D) (Ins	ed (A) or	or 5. Amou and Securitie Beneficia		nt of 6. 0 es Fo ally (D) Following (I)		r Indirect rstr. 4)	7. Nature of Indirect Beneficial Ownership		
Common Stock 03/06/				03/06/2			Code	v	Amount 30,657	(A) or (D) P1		e 60	Transact (Instr. 3 a	ransaction(s) nstr. 3 and 4)		D	(Instr. 4)	
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Da if any (Month/Day/Y	Cod	nsaction le (Instr.	of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		D	Price of erivative ecurity nstr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Cod	le V	(A)		Date Exercisab		Expiration Date	Title	Amour or Number of Shares	r					
Stock Option (Right to Buy)	\$5.41	03/06/2020		A		45,850		(2)	0	03/06/2030	Common Stock	45,85	0	\$5.41	45,850	)	D	

## **Explanation of Responses:**

- 1. Represents the grant of restricted stock units (RSUs). Twenty-five percent (25%) of the RSUs vest on March 15, 2021, and the balance vests in equal quarterly installments over the remaining three years of the four-year period, subject to continued service with the Issuer. Each RSU represents the right to receive one share of common stock of OptiNose, Inc
- 2. The option vests and becomes exercisable over a four-year period commencing on March 6, 2020. Twenty-five percent (25%) of the option vests and becomes exercisable on March 6, 2021, and the balance vests in equal monthly installments (rounded down to the nearest whole share) over the remaining three years of the four-year period, subject to continued service with the Issuer.

The attached amends and restates the Form 4 filed on March 9, 2020 in its entirety

/s/ Leanne Kelly, Attorney-in-

<u>Fact</u>

03/17/2021

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.