SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Instruction 1(t)).		Filed pursuant to Section 16(a) of the Securities Exchange Act of or Section 30(h) of the Investment Company Act of 1940		hours per i	response: 0.5		
1. Name and Add Scodari Jos		g Person [*]	2. Issuer Name and Ticker or Trading Symbol OptiNose, Inc. [OPTN]	(Check a	onship of Reporting Po all applicable) Director	erson(s) to Issuer 10% Owner		
(Last) C/O OPTINO		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 03/06/2020		Officer (give title below)	Other (specify below)		
1020 STONY	HILL ROAD	, SUITE 300	4. If Amendment, Date of Original Filed (Month/Day/Year)) 6. Indivio Line)	6. Individual or Joint/Group Filing (Check App Line)			
(Street)				X	Form filed by One Re	porting Person		
YARDLEY	PA	19067			Form filed by More th Person	an One Reporting		
(City)	(State)	(Zip)						

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

	Table 1- Non-Derivative decartics Acquired, Disposed of, or Derivitative owned													
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)				
Common Stock	03/06/2020		Р		6,500	A	\$5.75	19,030	D					
Common Stock	03/06/2020		Р		2,000	A	\$5.5623	21,030	D					
Common Stock	03/06/2020		Р		200	A	\$5.525	21,230	D					

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puis, cans, warrants, options, convertible securities)															
1. Tit Deriv Secu (Instr	vative rity 1. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	ar) 3A. Deemed Execution Date, if any (Month/Day/Year) 4. Transaction Code (Ins 8)					6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

Remarks:

<u>/s/ Leanne Kelly, Attorney-in-</u> Fact <u>03/06/2020</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \star If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See